

# Operating Systems and UNIX basics, authentication, *etc.*

CSE 536  
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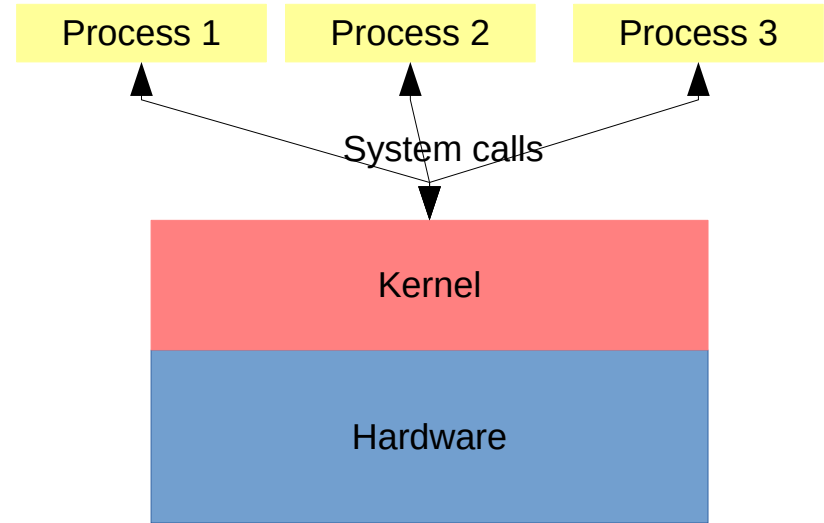


# UNIX process hierarchy

`pstree -p | less -S`

`pstree -pu jedi`

`ls -p 31009`



```
jedi@sugarpine:~$ pstree -p | grep "sshd\|pstree\|systemd(1)"
```

```
systemd(1)-+-accounts-daemon(695)-+-{accounts-daemon}(737)  
    | -sshd(760)---sshd(876072)---sshd(876242)---bash(876243)-+-grep(876271)  
    |                                                         `--pstree(876270)
```

```
jedi@sugarpine:~$ pstree -p | head -n 20
```

```
systemd(1)-+-accounts-daemon(695)-+-{accounts-daemon}(737)  
    |                               `--{accounts-daemon}(762)  
    | -agetty(742)  
    | -apache2(476628)-+-apache2(872378)-+-{apache2}(872408)  
    |                               | -{apache2}(872409)  
    |                               | -{apache2}(872410)  
    |                               | -{apache2}(872411)  
    |                               | -{apache2}(872412)  
    |                               | -{apache2}(872413)  
    |                               | -{apache2}(872414)  
    |                               | -{apache2}(872415)  
    |                               | -{apache2}(872416)  
    |                               | -{apache2}(872417)  
    |                               | -{apache2}(872418)  
    |                               | -{apache2}(872419)  
    |                               | -{apache2}(872420)  
    |                               | -{apache2}(872421)  
    |                               | -{apache2}(872422)  
    |                               | -{apache2}(872423)  
    |                               | -{apache2}(872424)
```

```
jedi@sugarpine:~$
```

File Edit View Terminal Tabs Help

**jedi@sugarpine:**~\$ lsof -p 876243

| COMMAND  | PID    | USER | FD   | TYPE | DEVICE | SIZE/OFF | NODE     | NAME                                        |
|----------|--------|------|------|------|--------|----------|----------|---------------------------------------------|
| bash     | 876243 | jedi | cwd  | DIR  | 253,1  | 4096     | 98041857 | /home/jedi                                  |
| bash     | 876243 | jedi | rtd  | DIR  | 253,0  | 4096     | 2        | /                                           |
| bash     | 876243 | jedi | txt  | REG  | 253,0  | 1183448  | 8126942  | /usr/bin/bash                               |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 51832    | 8129415  | /usr/lib/x86_64-linux-gnu/libnss_files-2.31 |
| .so      |        |      |      |      |        |          |          |                                             |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 3035952  | 8130174  | /usr/lib/locale/locale-archive              |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 2029224  | 8128898  | /usr/lib/x86_64-linux-gnu/libc-2.31.so      |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 18816    | 8128899  | /usr/lib/x86_64-linux-gnu/libdl-2.31.so     |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 192032   | 8132687  | /usr/lib/x86_64-linux-gnu/libtinfo.so.6.2   |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 27002    | 8261965  | /usr/lib/x86_64-linux-gnu/gconv/gconv-modul |
| es.cache |        |      |      |      |        |          |          |                                             |
| bash     | 876243 | jedi | mem  | REG  | 253,0  | 191472   | 8127217  | /usr/lib/x86_64-linux-gnu/ld-2.31.so        |
| bash     | 876243 | jedi | 0u   | CHR  | 136,0  | 0t0      | 3        | /dev/pts/0                                  |
| bash     | 876243 | jedi | 1u   | CHR  | 136,0  | 0t0      | 3        | /dev/pts/0                                  |
| bash     | 876243 | jedi | 2u   | CHR  | 136,0  | 0t0      | 3        | /dev/pts/0                                  |
| bash     | 876243 | jedi | 255u | CHR  | 136,0  | 0t0      | 3        | /dev/pts/0                                  |

**jedi@sugarpine:**~\$



```
Terminal -
File Edit View Terminal Tabs Help
jedi@sugarpine:~$ sudo lsof -np 876242 | tail -n 15
sshd      876242  jedi    mem     REG                253,0      14048      8261072 /usr/lib/x86_64-linux-gnu/secur
ity/pam_deny.so
sshd      876242  jedi    mem     REG                253,0      191472     8127217 /usr/lib/x86_64-linux-gnu/ld-2.
31.so
sshd      876242  jedi      0u     CHR                1,3        0t0        6 /dev/null
sshd      876242  jedi      1u     CHR                1,3        0t0        6 /dev/null
sshd      876242  jedi      2u     CHR                1,3        0t0        6 /dev/null
sshd      876242  jedi      3u     unix 0xffff9029dea63800      0t0  15650667 type=DGRAM
sshd      876242  jedi      4u     IPv4              15650640      0t0        TCP 207.246.62.10:ssh->174.22.198.5
7:36404 (ESTABLISHED)
sshd      876242  jedi      5u     unix 0xffff902aa2e7d400      0t0  15651992 type=STREAM
sshd      876242  jedi      6u     unix 0xffff9029fb3f8c00      0t0  15651384 type=STREAM
sshd      876242  jedi      7r     FIFO              0,13        0t0  15652000 pipe
sshd      876242  jedi      8w     FIFO              0,25        0t0        720 /run/systemd/sessions/1505.ref
sshd      876242  jedi      9w     FIFO              0,13        0t0  15652000 pipe
sshd      876242  jedi     10u     CHR                5,2        0t0        89 /dev/ptmx
sshd      876242  jedi     12u     CHR                5,2        0t0        89 /dev/ptmx
sshd      876242  jedi     13u     CHR                5,2        0t0        89 /dev/ptmx
jedi@sugarpine:~$
```

# System Calls

```
jedi@tortuga: ~  
jedi@tortuga:~$ strace ls 2>&1 | head -n 9  
execve("/usr/bin/ls", ["ls"], 0x7fff0469f310 /* 44 vars */) = 0  
brk(NULL) = 0x59676738d000  
arch_prctl(0x3001 /* ARCH_??? */, 0x7ffdc942b800) = -1 EINVAL (Invalid argument)  
mmap(NULL, 8192, PROT_READ|PROT_WRITE, MAP_PRIVATE|MAP_ANONYMOUS, -1, 0) = 0x7dfc45b37000  
access("/etc/ld.so.preload", R_OK) = -1 ENOENT (No such file or directory)  
openat(AT_FDCWD, "/etc/ld.so.cache", O_RDONLY|O_CLOEXEC) = 3  
newfstatat(3, "", {st_mode=S_IFREG|0644, st_size=95551, ...}, AT_EMPTY_PATH) = 0  
mmap(NULL, 95551, PROT_READ, MAP_PRIVATE, 3, 0) = 0x7dfc45b1f000  
close(3) = 0  
jedi@tortuga:~$ strace ls 2>&1 | tail -n 9  
Templates  
tmp  
Videos  
VirtualBox VMs  
) = 107  
close(1) = 0  
close(2) = 0  
exit_group(0) = ?  
+++ exited with 0 +++  
jedi@tortuga:~$
```

# Interprocess Communication (IPC)

- Sockets
  - Datagram or stream
- Pipes
  - Named or unnamed
- Other ways for processes to communicate
  - Command line arguments, shared memory, file I/O, *etc.*

```
jedi@sugarpine:~$ mkfifo /tmp/myunnamedpipe
```

```
jedi@sugarpine:~$ cat messsages.txt
```

```
Hello, how are you?
```

```
I am fine.
```

```
Goodbye.
```

```
jedi@sugarpine:~$ cat messsages.txt > /tmp/myunnamedpipe &
```

```
[1] 877804
```

```
jedi@sugarpine:~$ cat /tmp/myunnamedpipe | while read line; do bash -c "echo $line"; done
```

```
Hello, how are you?
```

```
I am fine.
```

```
Goodbye.
```

```
[1]+ Done
```

```
cat messsages.txt > /tmp/myunnamedpipe
```

```
jedi@sugarpine:~$
```



# Filesystem

```
jedi@tortuga: /etc
jedi@tortuga:/$ ls
bin    dev    home  lib32  libx32  media  opt    recovery  run    srv    tmp    var
boot  etc    lib   lib64  lost+found  mnt    proc   root     sbin   sys    usr
jedi@tortuga:/$ cd etc
jedi@tortuga:/etc$ ls | head -n 5
acpi
adduser.conf
alsa
alternatives
apache2
jedi@tortuga:/etc$ ls -l adduser.conf
-rw-r--r-- 1 root root 3028 Mar  8 2023 adduser.conf
jedi@tortuga:/etc$ head -n 5 adduser.conf
# /etc/adduser.conf: `adduser' configuration.
# See adduser(8) and adduser.conf(5) for full documentation.

# The DSHELL variable specifies the default login shell on your
# system.
jedi@tortuga:/etc$ rm adduser.conf
rm: remove write-protected regular file 'adduser.conf'? y
rm: cannot remove 'adduser.conf': Permission denied
jedi@tortuga:/etc$
```

# File permissions

```
crandall@hannibal: ~  
crandall@rubicon ~ $ sudo grep "hal" /etc/passwd  
hal:x:1003:1003:Hal,,,:/home/hal:/bin/bash  
crandall@rubicon ~ $ sudo grep "hal" /etc/shadow  
hal:$6$4asLz5vU$l5FDnfwLtlXQf/EESsxI3f3YbjM3fzTtw9EwKy8vsuEU4e8uKIvoy0ST99nquwH5  
QrHwt3SvGsciQk2D980Q9.:17259:0:99999:7:::  
crandall@rubicon ~ $ ls -l /etc/passwd  
-rw-r--r-- 1 root root 2021 Apr  2 22:49 /etc/passwd  
crandall@rubicon ~ $ ls -l /etc/shadow  
-rw-r----- 1 root shadow 1532 Apr  2 22:49 /etc/shadow  
crandall@rubicon ~ $
```

**-rwxr-x---**

- First is special designations (symlink, directory)
- Next triplet is user (u)
- Triplet after is group (g)
- Last triplet is others (o)
- r = read, w = write, x = execute
- Sometimes you'll see other things, like s for Set UID



jedi@merced: ~/gitrepos/jedcrandall.github.com/cour...

~/gitrepos/jedcrandall.github.com/courses/cse536fall2026



```
jedi@merced:~$ cd Desktop/
```

```
jedi@merced:~/Desktop$ ls -la
```

```
total 8
```

```
drwxr-xr-x  2 jedi jedi 4096 Aug 11 12:12 .
```

```
drwxr-x--- 17 jedi jedi 4096 Aug 12 15:25 ..
```

```
jedi@merced:~/Desktop$ ln -s ~/gitrepos/jedcrandall.github.com/courses/cse536fall2026/ 536web
```

```
jedi@merced:~/Desktop$ ls -la
```

```
total 12
```

```
drwxr-xr-x  2 jedi jedi 4096 Aug 24 11:44 .
```

```
drwxr-x--- 17 jedi jedi 4096 Aug 12 15:25 ..
```

```
lrwxrwxrwx  1 jedi jedi   66 Aug 24 11:44 536web -> /home/jedi/gitrepos/jedcrandall.github.com/courses/cse536fall2026/
```

```
jedi@merced:~/Desktop$ cd -P 536web/
```

```
jedi@merced:~/gitrepos/jedcrandall.github.com/courses/cse536fall2026$ ls | head -n 4
```

```
536web
```

```
build.sh
```

```
index.html
```

```
index.md
```

```
jedi@merced:~/gitrepos/jedcrandall.github.com/courses/cse536fall2026$
```



# Authentication in general

- Bishop, *Computer Security: Art and Science...*  
“Authentication is the binding of an identity to a principal. Network-based authentication mechanisms require a principal to authenticate to a single system, either local or remote. The authentication is then propagated.”

# Authentication in general (continued)

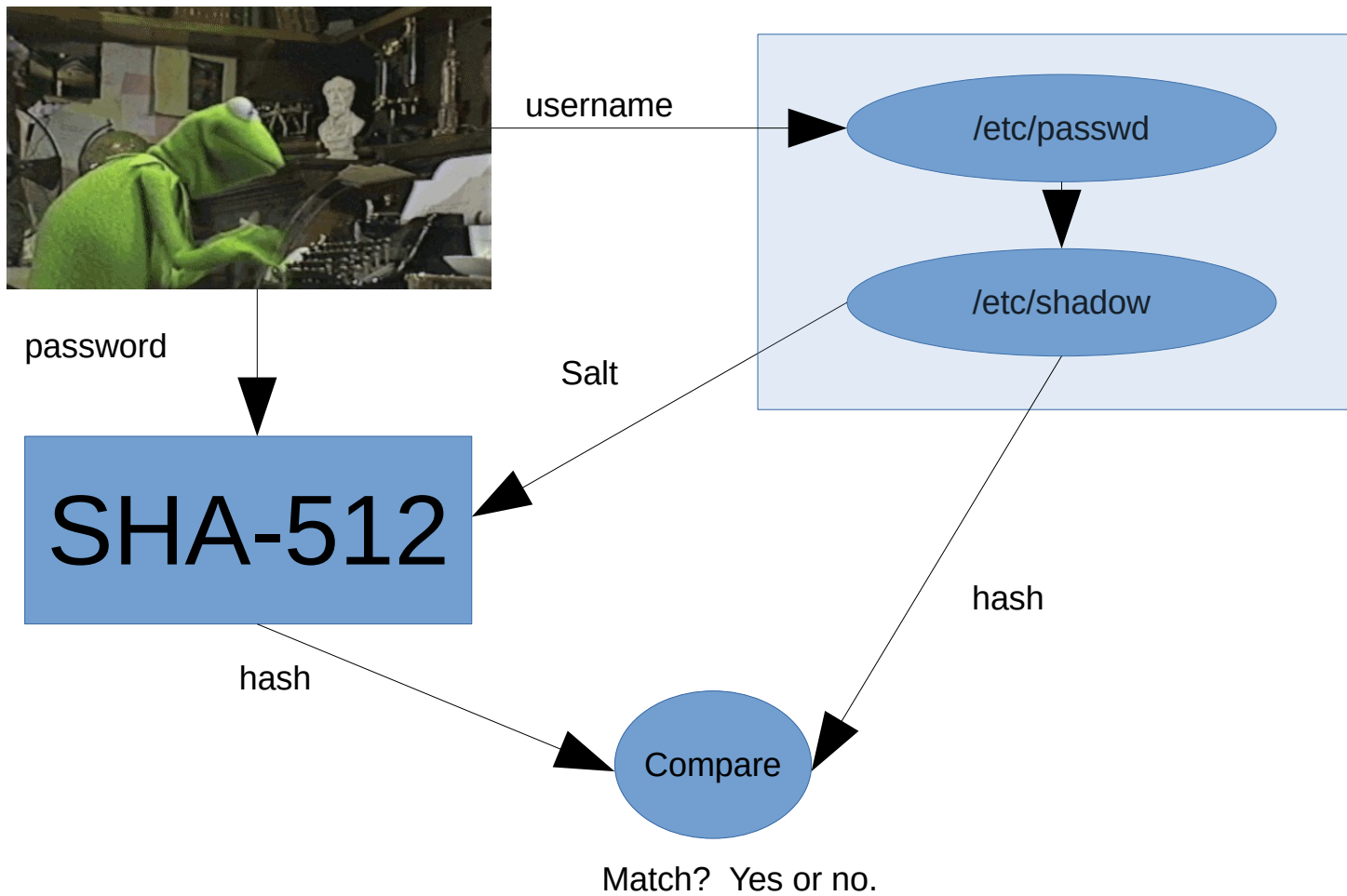
- Bishop: “Authentication consists of an entity, the *user*, trying to convince a different entity, the *verifier*, of the user's identity. The user does so by claiming to know some information, to possess something, to have some particular set of physical characteristics, or to be in a specific location.”
- Informally: something you know, something you have, something you are

# 2FA = 2-Factor Authentication

- Two of these:
  - Something you know
  - Something you have
  - Something you are
- *E.g.*, bank card plus PIN
- For Internet services, typically the first two
- Helps protect against phishing, for example

# Basic Linux authentication

- Ties you (the identity) to your user ID (the principal), which is in turn tied to subjects (*e.g.*, processes) and objects (*e.g.*, files)
- Based on hashing
  - Also salting
  - Also shadowed password hashes

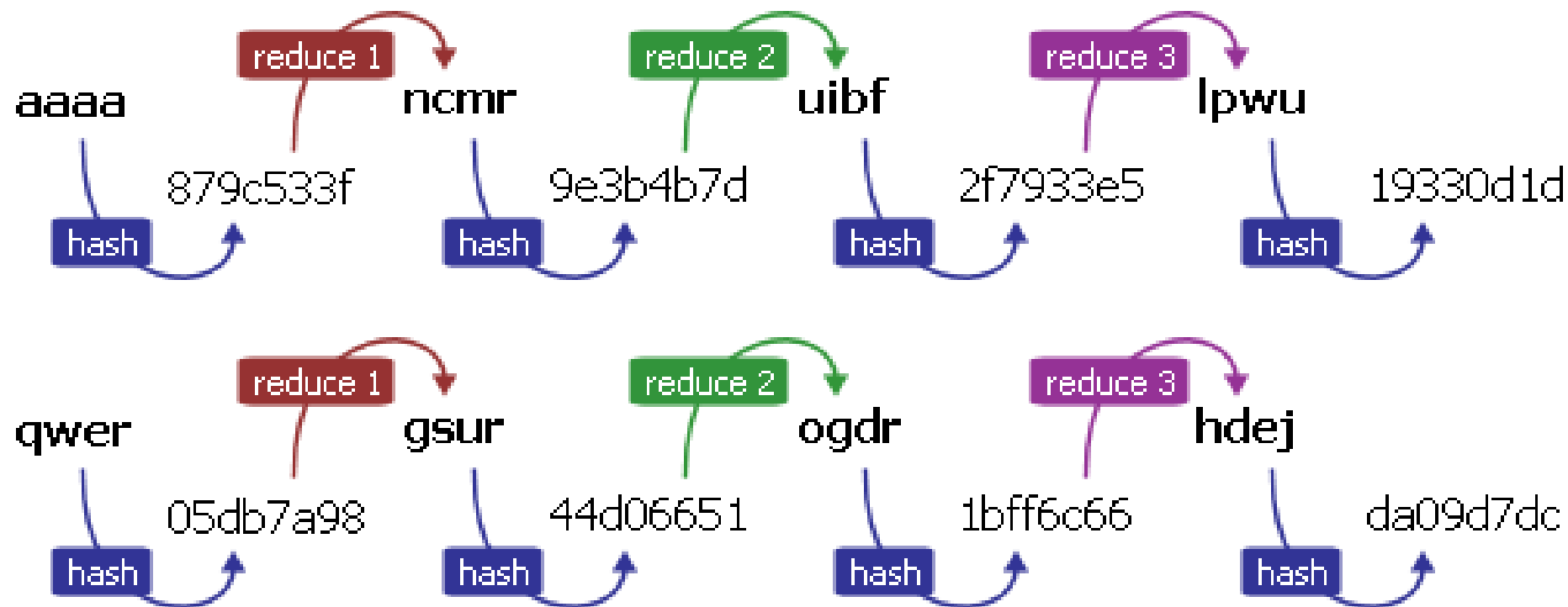




# Passwords

- Should be high ~~entropy~~, algorithmic complexity
- Should be easy to remember

These requirements are in  
conflict with each other!  
Password managers help.



## Rainbow Table

|      |          |
|------|----------|
| aaaa | 19330d1d |
| qwer | da09d7dc |

Plagiarized from <https://i.imgsafe.org/2bf87cbfe2.png>

# Time-memory tradeoff

- Rainbow tables can store lots of hash results compactly (precomputation)
- Just check if a user's hash might be in a hash chain, only recalculate it if so
- As a fall-back, just try every possible password (brute force)

Salting helps against  
precomputation.

Good passwords, system-imposed  
delays, shadowing help against  
brute force.

# Shadowing the password file

```
crandall@hannibal: ~  
crandall@rubicon ~ $ sudo grep "hal" /etc/passwd  
hal:x:1003:1003:Hal,,,:/home/hal:/bin/bash  
crandall@rubicon ~ $ sudo grep "hal" /etc/shadow  
hal:$6$4asLz5vU$l5FDnfwLtlXQf/EESsxI3f3YbjM3fzTtw9EwKy8vsuEU4e8uKIvoy0ST99nquwH5  
QrHwt3SvGsciQk2D980Q9.:17259:0:99999:7:::  
crandall@rubicon ~ $ ls -l /etc/passwd  
-rw-r--r-- 1 root root 2021 Apr  2 22:49 /etc/passwd  
crandall@rubicon ~ $ ls -l /etc/shadow  
-rw-r----- 1 root shadow 1532 Apr  2 22:49 /etc/shadow  
crandall@rubicon ~ $
```

# What is a vulnerability?

- Management information stored in-band with regular information?
- Programming the weird machine?
- A failure to properly sanitize inputs?
- Mostly have one of two flavors:
  - One process (can be through local or IPC) sends inputs to another process that trick it into accessing or changing something it shouldn't.
  - A process makes system calls to the kernel and tricks it in some way.

# Can be local or remote, sometimes something else

- Send malicious input over a network socket to take control of a remote machine
- Give malicious input to a privileged local process to get escalated privileges for yourself
- Confuse the logic of an accounting mechanism
- Break the separation between web sites in a browser to get access to someone's bank credentials





Plagiarized from  
<https://sites.psu.edu/thedeepweb/2015/09/17/captain-crunch-and-his-toy-whistle/>

# Other examples of logic bugs or more general vulnerabilities?

- Werewolves has a couple
- Amazon shopping cart (there was an IEEE Symposium on Security and Privacy paper about this, but I can't find it)
- Pouring salt water or putting tabs from construction sites in Coke machines
- Getting a code out of a locked locker
- Other examples you know of?

# SQL command injection

```
SELECT * where username = '$u' and password = '$p'
```

\$u = **crandall**

\$p = **abc123**

```
SELECT * where username = 'crandall' and password =  
                        'abc123'
```

# SQL command injection

SELECT \* where username = '\$u' and password = '\$p'

\$u = bla' or '1' = '1' --

\$p = idontknow

SELECT \* where username = 'bla' or '1' = '1' --' and  
password = 'idontknow'

# SQL command injection

SELECT \* where username = '\$u' and password = '\$p'

\$u = bla' or '1' = '1' --

\$p = idontknow

SELECT \* where username = 'bla' or '1' = '1' --' and  
password = 'idontknow'

# Wassermann and Su, POPL 2006

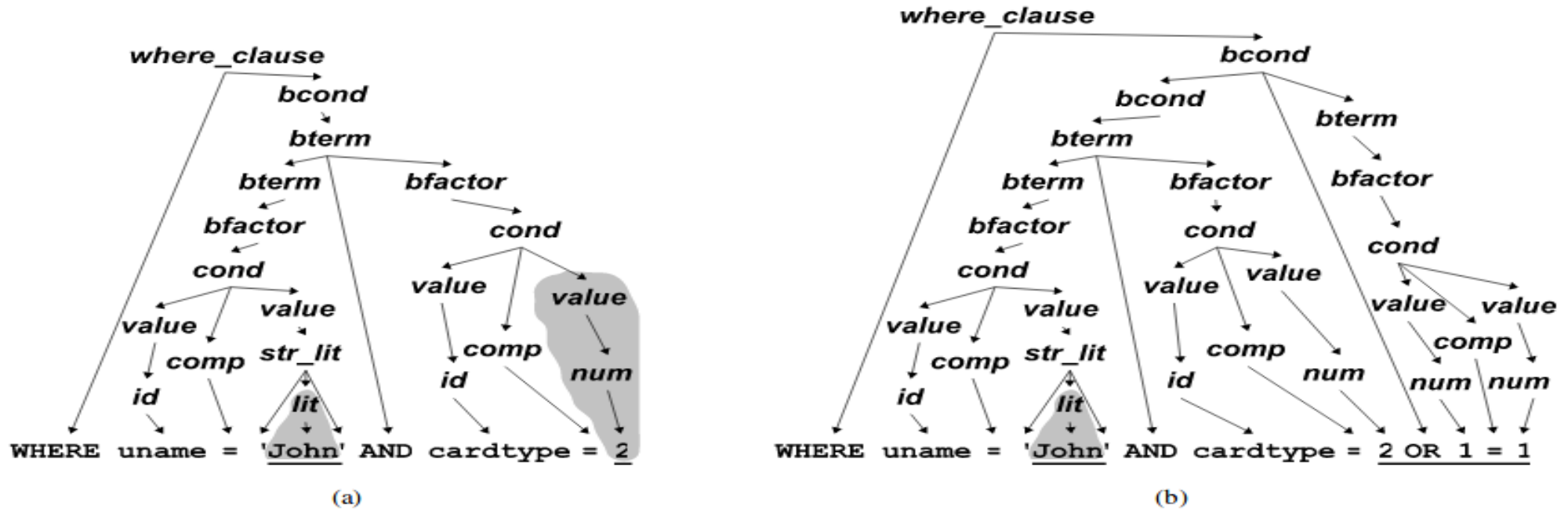


Figure 4. Parse trees for WHERE clauses of generated queries. Substrings from user input are underlined.

# Cross-site Scripting (XSS)

Send a message in the WebCT platform:

Hi Professor Crandall, I had a question about the homework.  
When is it due? p.s. `<script>alert("youve ben h@xored!")</script>`



```
Terminal -
File Edit View Terminal Tabs Help
jedi@sugarpine:~$ cat messages.txt
Hello, how are you?
I am fine.
Goodbye.
jedi@sugarpine:~$ cat messages.txt > /tmp/myunnamedpipe &
[1] 877762
jedi@sugarpine:~$ cat /tmp/myunnamedpipe | while read line; do bash -c "echo $line"; done
Hello, how are you?
I am fine.
Goodbye.
[1]+  Done                  cat messages.txt > /tmp/myunnamedpipe
jedi@sugarpine:~$
```



```
Terminal -
File Edit View Terminal Tabs Help
jedi@sugarpine:~$ cat messages.txt
Hello, how are you?
I am fine.
Goodbye.
Command injection?;fortune
jedi@sugarpine:~$ cat messages.txt > /tmp/myunnamedpipe &
[1] 877613
jedi@sugarpine:~$ cat /tmp/myunnamedpipe | while read line; do bash -c "echo $line"; done
Hello, how are you?
I am fine.
Goodbye.
Command injection?
Nothing so needs reforming as other people's habits.
-- Mark Twain, "Pudd'nhead Wilson's Calendar"
[1]+  Done
jedi@sugarpine:~$ cat messages.txt > /tmp/myunnamedpipe
```

# Werewolves command injection

```
system("echo $s > /path/to/pipe")
```

```
$s = hi; chmod 777 ~/server.py
```

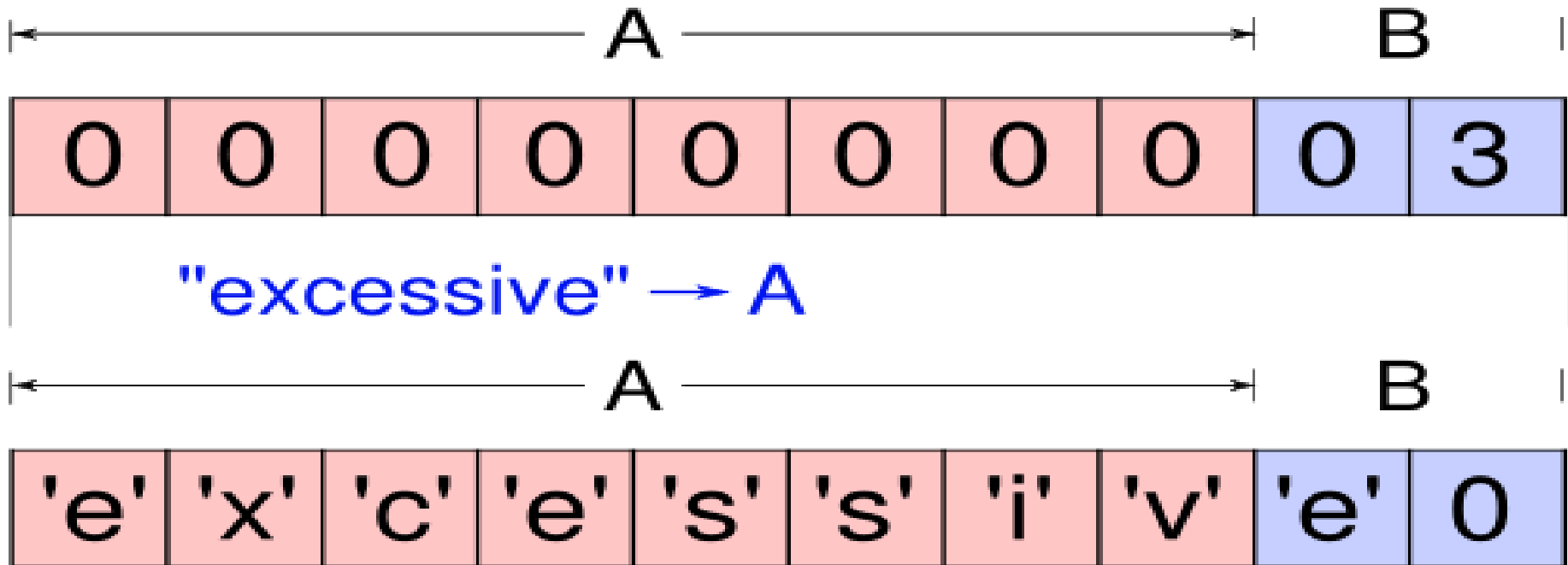
```
echo hi; chmod 777 ~/server.py > /path/to/pipe
```

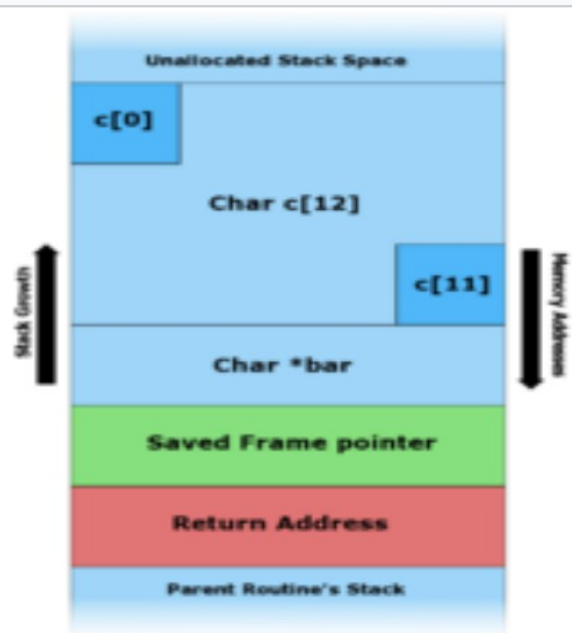
root@sandpond: /home/moderatorbackup

```
(1406841164) - Werewolves not unanimous
(1406841165) - Witch vote
(1406841198) - Witch poisoned group12
(1406841198) - These are group12s last words.
(1406841208) - It is day. Everyone, ['group1', 'group10', 'group11', 'group2',
'group3', 'group4', 'group5', 'group6', 'group7', 'group8', 'group9'], open your
eyes. You will have 30 seconds to discuss who the werewolves are.
(1406841209) - Day-townspeople debate
(1406841215) - group5-2
(1406841217) - group2-stop messing with the logs; chmod 777 /home/moderator/serv
er.py
(1406841217) - group6-2
(1406841219) - group1-yeh 2
(1406841223) - group8-lol its always twelve
(1406841225) - group4-2
(1406841226) - group2-stop messing with the logs; chmod 777 /home/moderator/serv
er.py
(1406841231) - group4-2
(1406841231) - group9-its 9
(1406841232) - group11-u mean 12?
(1406841235) - group2-iyits not me pls
(1406841236) - group10-kappa
(1406841237) - group1-poor 12
```

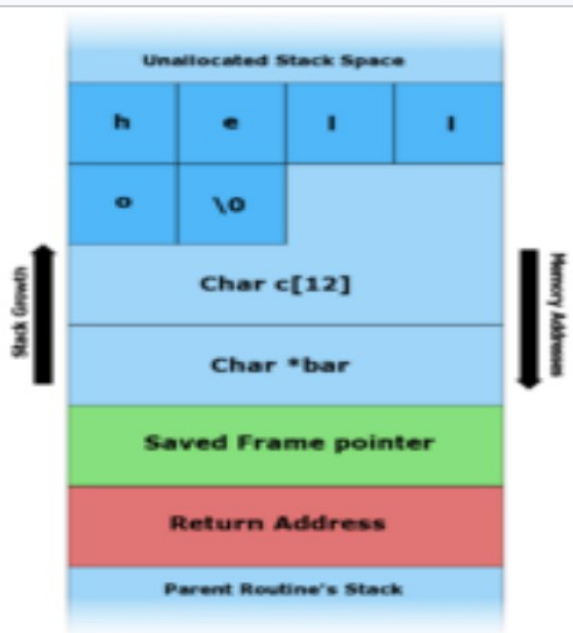
:

# Buffer overflows

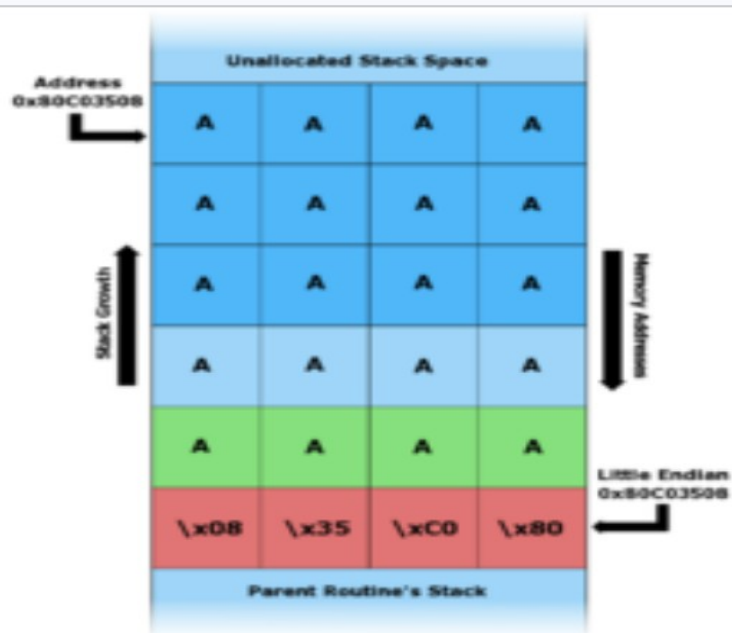




A. - Before data is copied.



B. - "hello" is the first command line argument.



C. - "AAAAAAAAAAAAAAAAAAAAAAAAAA\x08\x35\xC0\x80" is the first command line argument.

# Format string vulnerabilities

```
scanf("%s", string)  
printf(string)
```

```
%500x%500x%12x\xbf\xff\xff\x2c%n
```

# Memory corruption in general

- Buffer overflows on the stack and heap, format strings, double free()'s, *etc.*
- Easily the most well-studied vulnerability/exploit type
- Goal is often to execute code in memory
- See Shacham's ACM CCS 2007 paper for Return Oriented Programming
  - Even with just existing code in memory, you can build a Turing-complete machine

# Race conditions

- Often called Time-of-Check-to-Time-of-Use (TOCTTOU)

```
if (!access("/home/jedi/s", W_OK))
{
    F = open("/home/jedi/s", O_WRITE);
    ... /* Write to the file */
}
else
{
    perror("You don't have permission to write to that file!")
}
```



# Werewolves race condition

```
touch moderatoronlylogfile.txt  
chmod og-rw moderatoronlylogfile.txt
```

# Phishing

From: "Dropbox Notification" <[dropbox.noreplay@gmail.com](mailto:dropbox.noreplay@gmail.com)>  
Date: Dec 7, 2016 [REDACTED]  
Subject: You have 1 new file in your inbox  
To: [REDACTED]  
Cc:



Hi [REDACTED]

You have received a new document in your inbox, view the file "مذكرة القبض على عزة سليمان.pdf" on Dropbox.

[View file](#)

# Phishing

- Wide range of sophistication in terms of the social engineering aspect
  - One end of the spectrum: “Plez logg in and changer you password, maam!”
  - Other end of the spectrum: “The attached PDF is my notes from the meeting yesterday, it was nice to see you again!” (from someone you saw at a conference the day before)
    - 2FA helps protect against phishing
    - (but state actors can easily spoof your cell phone and get SMS messages)

# Coming up...

- Covert channels, where processes communicate through channels not intended for communication
  - Assumes collusion
- Side channels, where the sending process doesn't mean to be sending
- File permissions are checked when the file is opened (and added to the file descriptor table of the process), not with every access!

# man ...

- ls (ls -l is a useful flag), cd, pwd, chown, chgrp, chmod, stat, id, w, who, last, kill, ps, pstree, netstat, cat, less, sudo, watch, screen, fuser

# Some more things to read up on

- FIFO pipes (can be unnamed or named)
- The /proc/ filesystem
- Character devices (*e.g.*, PTY, PTS, TTY)

# Resources

- *Computer Security: Art and Practice*, Chapter 12
- <https://citizenlab.org/>

```
jedi@tortuga: ~  
top - 12:51:12 up 1:29, 1 user, load average: 0.26, 0.45, 0.49  
Tasks: 409 total, 1 running, 408 sleeping, 0 stopped, 0 zombie  
%Cpu(s): 0.2 us, 1.0 sy, 0.8 ni, 97.7 id, 0.0 wa, 0.0 hi, 0.2 si, 0.0 st  
MiB Mem : 31325.8 total, 23442.7 free, 4061.8 used, 3821.3 buff/cache  
MiB Swap: 16384.0 total, 16384.0 free, 0.0 used. 24873.1 avail Mem
```

| PID  | USER | PR | NI  | VIRT    | RES    | SHR    | S | %CPU | %MEM | TIME+    | COMMAND  |
|------|------|----|-----|---------|--------|--------|---|------|------|----------|----------|
| 2533 | jedi | 26 | 6   | 33.0g   | 236792 | 144920 | S | 5.9  | 0.7  | 7:25.65  | chrome   |
| 3365 | jedi | 26 | 6   | 1131.6g | 206860 | 109988 | S | 5.0  | 0.6  | 2:10.76  | chrome   |
| 1888 | jedi | 17 | -3  | 1192648 | 156480 | 106268 | S | 4.6  | 0.5  | 3:01.15  | Xorg     |
| 2047 | jedi | 17 | -3  | 6446180 | 323800 | 141236 | S | 3.0  | 1.0  | 3:39.57  | gnome-s+ |
| 5906 | jedi | 29 | 9   | 561628  | 53576  | 40680  | S | 3.0  | 0.2  | 0:03.44  | gnome-t+ |
| 2489 | jedi | 26 | 6   | 33.3g   | 678800 | 535080 | S | 1.0  | 2.1  | 3:57.98  | chrome   |
| 3303 | jedi | 26 | 6   | 1133.8g | 356132 | 138440 | S | 1.0  | 1.1  | 10:10.51 | chrome   |
| 1130 | root | 32 | 12  | 332160  | 13440  | 12288  | S | 0.7  | 0.0  | 0:11.66  | touchegg |
| 2534 | jedi | 26 | 6   | 32.4g   | 126668 | 97908  | S | 0.3  | 0.4  | 1:10.39  | chrome   |
| 1    | root | 20 | 0   | 166572  | 11136  | 8160   | S | 0.0  | 0.0  | 0:01.38  | systemd  |
| 2    | root | 20 | 0   | 0       | 0      | 0      | S | 0.0  | 0.0  | 0:00.01  | kthreadd |
| 3    | root | 20 | 0   | 0       | 0      | 0      | S | 0.0  | 0.0  | 0:00.00  | pool_wo+ |
| 4    | root | 0  | -20 | 0       | 0      | 0      | I | 0.0  | 0.0  | 0:00.00  | kworker+ |
| 5    | root | 0  | -20 | 0       | 0      | 0      | I | 0.0  | 0.0  | 0:00.00  | kworker+ |
| 6    | root | 0  | -20 | 0       | 0      | 0      | I | 0.0  | 0.0  | 0:00.00  | kworker+ |
| 7    | root | 0  | -20 | 0       | 0      | 0      | I | 0.0  | 0.0  | 0:00.00  | kworker+ |
| 12   | root | 0  | -20 | 0       | 0      | 0      | I | 0.0  | 0.0  | 0:00.00  | kworker+ |



```
jedi@tortuga: ~  
jedi@tortuga:~$ ps -eo args,pid,wchan | grep pipe\_read  
cat                2494 pipe_read  
cat                2495 pipe_read  
/usr/lib/libreoffice/progra 6161 pipe_read  
grep --color=auto pipe_read 6652 pipe_read  
jedi@tortuga:~$
```

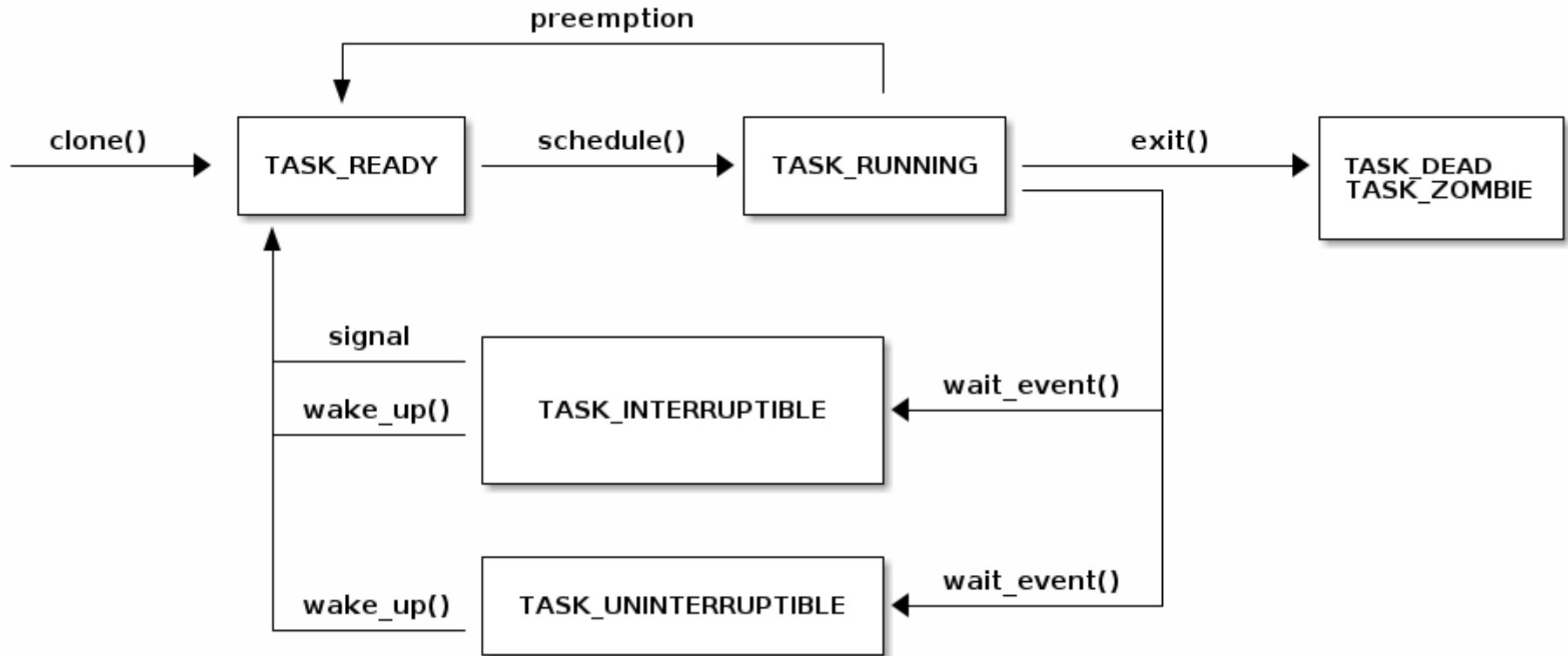
# Process Control Block

- State
- Saved registers
- Address space
- File descriptor table
- Signal information
- Much more...

# <https://www.baeldung.com/linux/pcb>

```
/* Simplified representation of the task_struct structure in Linux kernel */

struct task_struct {
    volatile long state;                // Process state (e.g., TASK_RUNNING,
TASK_STOPPED)
    struct thread_info *thread_info;
    struct exec_domain *exec_domain; // Execution domain information
(deprecated)
    struct mm_struct *mm;              // Memory management information (address
space)
    struct fs_struct *fs;              // Filesystem information
    struct files_struct *files;        // File descriptor table
    struct signal_struct *signal;     // Signal handlers and signals pending
    struct sighand_struct *sighand;   // Signal handling information
    ...
    /* Various other fields */
    ...
};
```



- `TASK_INTERRUPTIBLE` ... Can be woken up by a signal.
- `TASK_UNINTERRUPTIBLE` ... Can't be woken up by a signal, *e.g.*, is waiting for some special event
  - Probably a kernel thread

# fork() and exec()

```
int pid = fork()
if (pid == 0) {
    exec("/bin/ls");
} else {
    waitpid(pid, &status, options);
}
```

man fork  
man clone3

# Illusions

- Create the illusion each process has its own CPU
  - Context switch
- Create the illusion each process has its own memory
  - Virtual memory
    - Physical memory is divided into different virtual memory spaces
    - We'll discuss this more later in the semester
- Create the illusion each OS has its own physical memory and CPU
  - Virtualization



# Context switches

- Reasons a CPU stops executing a process and starts executing code inside the kernel (*e.g.*, interrupt handler or scheduler)
  - Exceptions, *e.g.* ...
    - Divide by zero
    - System call (could also be placed under yield)
  - Interrupts, *e.g.* ...
    - I/O event
  - Yield
    - I/O request or placed on some wait queue

# Simple schedulers

- FIFO, *a.k.a.*, FCFS (First In First Out, or First Come First Serve)
  - 1111111111222333333
- Turnaround time
  - How long a process takes to complete
  - Assume all processes are ready in sequence 1, 2, 3 at the beginning
    - Average turnaround time = average(10, 13, 19) = 14

# Simple schedulers (continued...)

- Shortest Job First

- 2223333331111111111

- Turnaround time improved

- Average(3, 9, 19) = 10.333... (less than 14)

So, why not use Shortest Job First?

# Reason #1

- We can't see into the future

## Reason #2

- Without *preemption*, it's hard to get a good response time
  - Response time: How long it takes the CPU to respond to a request made by a process
    - *E.g.*, you press a key, you'd like to see that letter on the screen

# Add a timer interrupt...

- ...that, *e.g.*, goes off every 10ms or 1ms
- Gives the scheduler a chance to schedule a new process, *e.g.*, if there's been some input and they're out of the wait queue
- Round Robin scheduler
  - Divide CPU time into slices
  - E.g., each process gets two time slices
    - 1122331123311331111

# Can do even better...

- If we could see into the future?
  - Could improve response time by prioritizing (*i.e.*, letting them skip in line) processes that are very likely to yield the CPU quickly
- Can predict future behavior based on past behavior



# Multilevel Feedback Queue

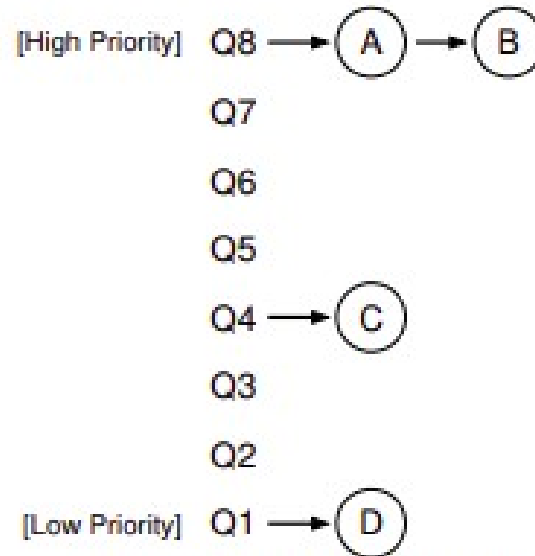


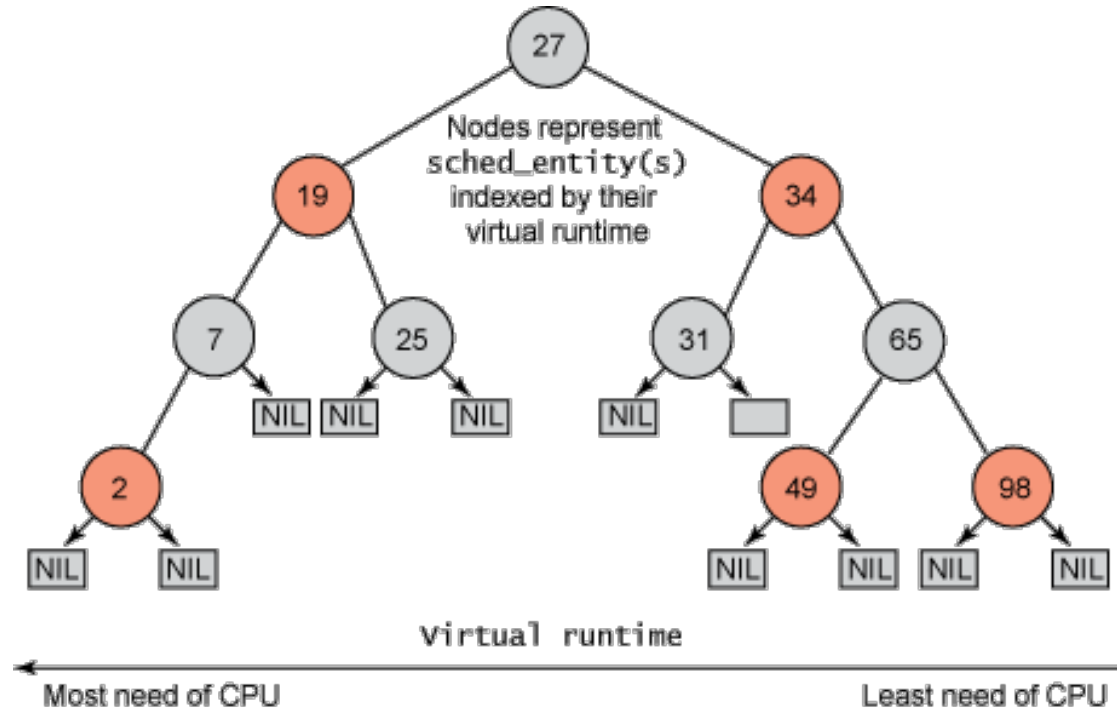
Figure 8.1: MLFQ Example

<https://pages.cs.wisc.edu/~remzi/OSTEP/cpu-sched-mlfq.pdf>

# MLFQ

- Fernando Corbato's Turing award is based on this
- Famously used in Solaris
- Modern schedulers are not always MLFQ's but are based on the same ideas
- Priorities can also have a static element to them, in general
  - `man nice`
- What about starvation?

# Linux Completely Fair Scheduler



<https://svalaks.medium.com/linux-internals-completely-fair-scheduling-cfs-cpu-scheduler-algorithm-7412c08d2e37>

# Linux CFS

- Picks process from left-most node in  $O(1)$  time
- Reinserts when a process is done in  $O(\log(N))$  time
- The more you yield the CPU, the more you stay to the left
- The more CPU you hog, the more you move to the right
- Priority is also part of the slice calculation
- Good tradeoff of throughput and responsiveness, no starvation

CFS is now outdated, we'll cover Linux's EEVDF scheduler later in the semester



jedi@tortuga: /proc/24050



```
jedi@tortuga:~/gitrepos/github/topsecret/cse536spring2024$ cat sched.sh
```

```
#!/bin/bash
```

```
pidof stress | sort -n | sed "s/ /\n/g" | while read p; do
```

```
#cat /proc/$p/cmdline
```

```
#echo ""
```

```
echo $p
```

```
cat /proc/$p/sched | grep vruntime
```

```
done
```

```
jedi@tortuga:~/gitrepos/github/topsecret/cse536spring2024$ watch ./sched.sh
```



jedi@tortuga: /proc/24050



```
jedi@tortuga:~$ stress -c 1 -i 1 -m 1
```

```
stress: info: [37444] dispatching hogs: 1 cpu, 1 io, 1 vm, 0 hdd
```



```
top - 13:31:51 up 1 day, 4:41, 4 users, load average: 1.47, 2.42, 3.21
Tasks: 440 total, 3 running, 437 sleeping, 0 stopped, 0 zombie
%Cpu(s): 0.0 us, 7.1 sy, 6.9 ni, 79.7 id, 5.1 wa, 0.0 hi, 1.2 si, 0.0 st
MiB Mem : 31325.8 total, 17321.6 free, 8486.6 used, 5517.6 buff/cache
MiB Swap: 16384.0 total, 16384.0 free, 0.0 used. 20265.0 avail Mem
```

| PID   | USER | PR  | NI  | VIRT    | RES    | SHR    | S | %CPU  | %MEM | TIME+    | COMMAND  |
|-------|------|-----|-----|---------|--------|--------|---|-------|------|----------|----------|
| 37445 | jedi | 26  | 6   | 3708    | 256    | 256    | R | 100.0 | 0.0  | 0:25.26  | stress   |
| 37447 | jedi | 26  | 6   | 265856  | 97968  | 128    | R | 100.0 | 0.3  | 0:25.26  | stress   |
| 37446 | jedi | 26  | 6   | 3708    | 128    | 128    | D | 19.5  | 0.0  | 0:04.92  | stress   |
| 21808 | jedi | 26  | 6   | 1131.6g | 211904 | 110448 | S | 2.0   | 0.7  | 0:40.38  | chrome   |
| 2909  | jedi | 26  | 6   | 33.1g   | 318192 | 184720 | S | 1.7   | 1.0  | 32:07.17 | chrome   |
| 9297  | jedi | 26  | 6   | 6149668 | 2.8g   | 2.7g   | S | 1.7   | 9.3  | 11:36.08 | Virtual+ |
| 15154 | root | 0   | -20 | 0       | 0      | 0      | I | 1.3   | 0.0  | 0:01.95  | kworker+ |
| 37260 | root | 0   | -20 | 0       | 0      | 0      | I | 1.0   | 0.0  | 0:00.13  | kworker+ |
| 1912  | jedi | -50 | -15 | 143312  | 37800  | 8708   | S | 0.7   | 0.1  | 0:41.08  | pipewir+ |
| 2863  | jedi | 26  | 6   | 33.3g   | 751168 | 559040 | S | 0.7   | 2.3  | 21:10.10 | chrome   |
| 2910  | jedi | 26  | 6   | 32.5g   | 153956 | 106444 | S | 0.7   | 0.5  | 6:37.57  | chrome   |
| 21791 | jedi | 26  | 6   | 1133.7g | 345616 | 130920 | S | 0.7   | 1.1  | 3:03.42  | chrome   |
| 29778 | root | 0   | -20 | 0       | 0      | 0      | I | 0.7   | 0.0  | 0:02.21  | kworker+ |
| 32688 | root | 0   | -20 | 0       | 0      | 0      | I | 0.7   | 0.0  | 0:01.41  | kworker+ |
| 37450 | root | 0   | -20 | 0       | 0      | 0      | I | 0.7   | 0.0  | 0:00.18  | kworker+ |
| 281   | root | -51 | 0   | 0       | 0      | 0      | S | 0.3   | 0.0  | 0:05.79  | irq/86-+ |
| 1223  | root | 20  | 0   | 0       | 0      | 0      | S | 0.3   | 0.0  | 1:32.14  | napi/ph+ |





jedi@tortuga: /proc/24050



Every 2.0s: ./sched.sh

tortuga: Fri Jan 26 13:34:03 2024

37447

se.vruntime : 2164454.807419

37446

se.vruntime : 2740210.094238

37445

se.vruntime : 4762379.104371

37444

se.vruntime : 4014571.764231



jedi@tortuga: /proc/24050



Every 2.0s: ./sched.sh

tortuga: Fri Jan 26 13:34:51 2024

37447

se.vruntime : 3517317.756064

37446

se.vruntime : 3546412.653729

37445

se.vruntime : 3239799.395092

37444

se.vruntime : 4014571.764231

# Demo

- Parent on bottom never changes
  - In a wait state
- CPU intensive (37445) stays pretty high all the time
- Memory intensive (37447) jumps back and forth
- I/O intensive (37446) usually the lowest

```
jedi@merced: ~  
jedi@merced:~$ ps aux | grep sig  
root      6801  0.0  0.0 128700 32792 ?        Ssl  Aug21   0:00 /usr/bin/pyth  
on3 /usr/share/unattended-upgrades/unattended-upgrade-shutdown --wait-for-signal  
jedi      55235  0.0  0.0  10136  3800 pts/1    S+   12:03   0:00 /bin/bash ./sig.sh  
jedi      55247  0.0  0.0   9316  2644 pts/2    S+   12:04   0:00 grep --color=  
auto sig  
jedi@merced:~$
```

```
jedi@merced: ~/tmp  
jedi@merced:~/tmp$ ls -la  
total 12  
drwxrwxr-x  2 jedi jedi 4096 Aug 24 12:02 .  
drwxr-x--- 18 jedi jedi 4096 Aug 24 12:02 ..  
-rwxrwxr-x  1 jedi jedi  249 Aug 24 12:02 sig.sh  
jedi@merced:~/tmp$ cat sig.sh  
#!/bin/bash  
  
# 1. Define the handler function  
handle() {  
    echo -e "\nSignal SIGUSR1 received!"  
}  
  
# 2. Register the handler to specific signals  
trap handle SIGUSR1  
  
echo "Processing... Press Ctrl+C to interrupt."  
while true; do  
    sleep 1  
done  
  
jedi@merced:~/tmp$ ./sig.sh  
Processing... Press Ctrl+C to interrupt.
```

```
jedi@merced: ~
jedi@merced:~$ ps aux | grep sig
root        6801  0.0  0.0 128700 32792 ?        Ssl  Aug21   0:00 /usr/bin/pyth
on3 /usr/share/unattended-upgrades/unattended-upgrade-shutdown --wait-for-sig
jedi        55537  0.0  0.0  10136  3868 pts/1    S+   12:06   0:00 /bin/bash ./s
ig.sh
jedi        55553  0.0  0.0   9316  2644 pts/2    S+   12:06   0:00 grep --color=
auto sig
jedi@merced:~$
```

```
jedi@merced: ~/tmp
merced:~/tmp$ ls -la
12
-r-xr-x  2 jedi jedi 4096 Aug 24 12:02 .
-r-xr-x 18 jedi jedi 4096 Aug 24 12:02 ..
-r-xr-x  1 jedi jedi  249 Aug 24 12:02 sig.sh
merced:~/tmp$ cat sig.sh
#!/bin/bash

# 1. Define the handler function
handle_sigusr1() {
    echo "Signal SIGUSR1 received!"
}

# 2. Register the handler to specific signals
trap handle_sigusr1 SIGUSR1

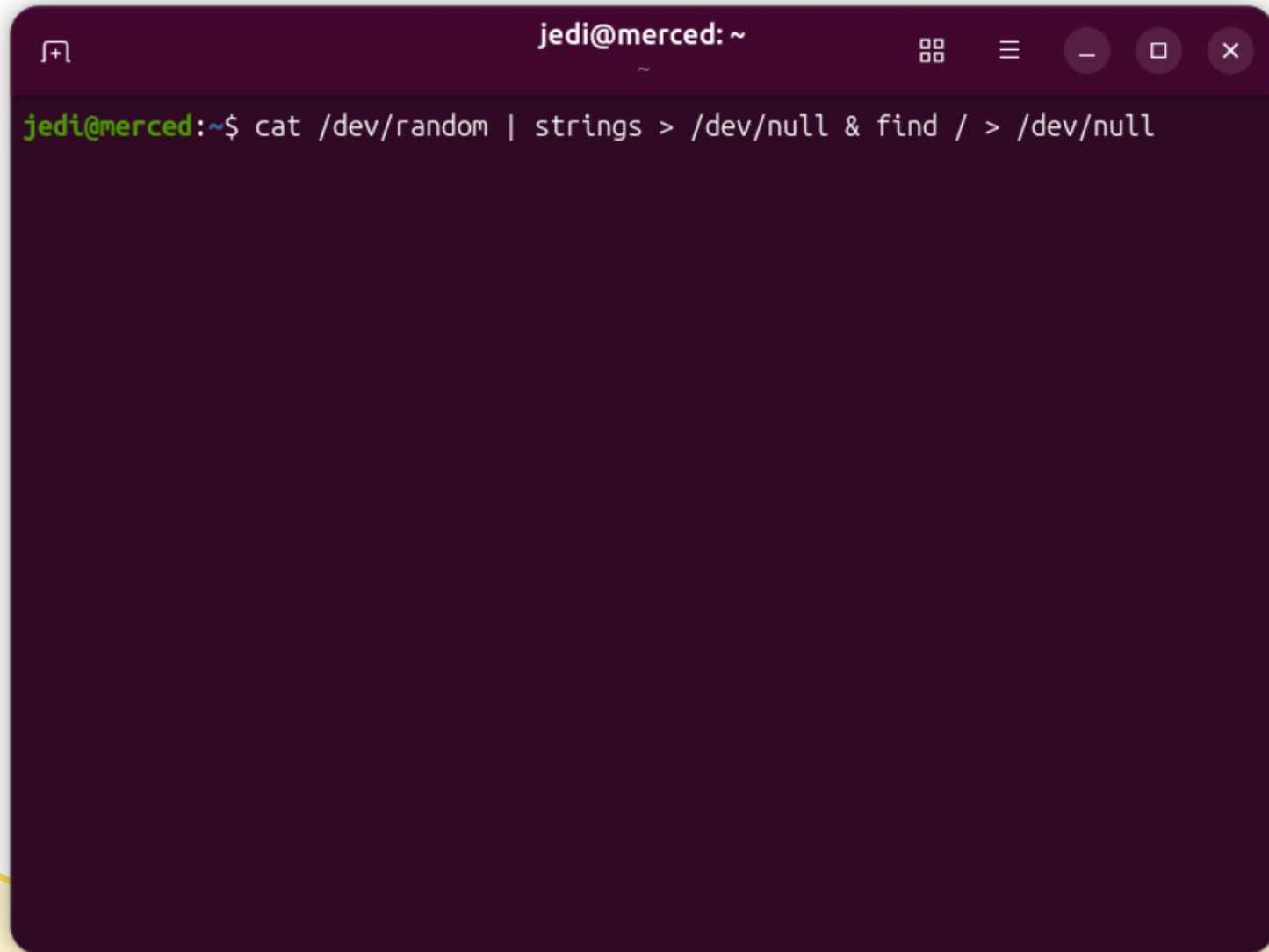
echo "Processing... Press Ctrl+C to interrupt."
while true; do
    sleep 1
done

jedi@merced:~/tmp$ ./sig.sh
Processing... Press Ctrl+C to interrupt.
```

```
jedi@merced: ~  
jedi@merced:~$ ps aux | grep sig  
root      6801  0.0  0.0 128700 32792 ?        Ssl  Aug21   0:00 /usr/bin/pyth  
on3 /usr/share/unattended-upgrades/unattended-upgrade-shutdown --wait-for-sig  
jedi      55537  0.0  0.0  10136  3868 pts/1    S+   12:06   0:00 /bin/bash ./sig.sh  
jedi      55553  0.0  0.0   9316  2644 pts/2    S+   12:06   0:00 grep --color=  
auto sig  
jedi@merced:~$ kill -s SIGUSR1 55537  
jedi@merced:~$
```

```
jedi@merced: ~/tmp  
xr-x  2 jedi jedi 4096 Aug 24 12:02 .  
x--- 18 jedi jedi 4096 Aug 24 12:02 ..  
xr-x  1 jedi jedi  249 Aug 24 12:02 sig.sh  
merced:~/tmp$ cat sig.sh  
#!/bin/bash  
  
# Define the handler function  
sigusr1_handler() {  
    echo "Signal SIGUSR1 received!"  
}  
  
# Register the handler to specific signals  
trap sigusr1_handler SIGUSR1  
  
echo "Processing... Press Ctrl+C to interrupt."  
while true; do  
    sleep 1  
done  
  
jedi@merced:~/tmp$ ./sig.sh  
Processing... Press Ctrl+C to interrupt.  
  
Signal SIGUSR1 received!  
□
```

```
jedi@merced: ~  
jedi@merced:~$ cat /dev/random | strings | head -n 5  
Ueq;  
+)Vy  
01IA  
>,, "  
vUZR  
jedi@merced:~$ cat /dev/random | strings | head -n 5 ; find / | head -n 5  
ay7sX  
}ZBVN  
-%Hz  
!DPn!./  
T:=e  
find: '/dev/vboxusb': Permission denied  
/  
/lib64  
/dev  
/dev/loop27  
/dev/vboxnetctl  
find: '/snap/cups/1238/etc/cups/ssl': Permission denied  
jedi@merced:~$
```



A terminal window with a dark purple background. The title bar at the top shows 'jedi@merced: ~' and standard window controls (minimize, maximize, close). The terminal content shows a command being executed: 'jedi@merced:~\$ cat /dev/random | strings > /dev/null & find / > /dev/null'. The prompt 'jedi@merced:~\$' is in green, and the rest of the command is in white. The command is designed to find all strings in the file system by reading random data and searching for printable characters.

```
jedi@merced:~$ cat /dev/random | strings > /dev/null & find / > /dev/null
```



```
jedi@merced: ~/tmp — top
~/tmp

top - 12:11:49 up 3 days, 2:13, 1 user, load average: 0.47, 0.48, 0.54
Tasks: 328 total, 2 running, 326 sleeping, 0 stopped, 0 zombie
%Cpu(s): 17.0 us, 10.8 sy, 0.3 ni, 65.2 id, 6.6 wa, 0.0 hi, 0.0 si, 0.0 st
MiB Mem : 38955.4 total, 27696.3 free, 5743.7 used, 7438.6 buff/cache
MiB Swap: 8192.0 total, 8192.0 free, 0.0 used. 33211.8 avail Mem

  PID USER      PR  NI  VIRT  RES  SHR S %CPU  %MEM    TIME+  COMMAND
56167 Jedi      20   0 10832  3144 2896 R 99.7   0.0   0:03.44 strings
56168 Jedi      20   0 14764  7528 3252 D 43.2   0.0   0:01.67 find
56166 Jedi      20   0 16244  7428 6120 S 33.6   0.0   0:01.15 cat
8889 Jedi      20   0 7327200 396716 200912 S 7.6    1.0 25:10.96 gnome-s+
42173 Jedi      20   0 2237608 164892 116852 S 5.6    0.4   0:29.39 ptyxis
37586 Jedi      20   0 1452.0g 251392 148880 S 3.3    0.6   4:13.49 chrome
56170 Jedi      39  19 415184  45208 37708 S 2.3    0.1   0:00.07 locale+
37252 Jedi      20   0 52.5g 142780 110004 S 1.7    0.4   1:31.22 chrome
37639 Jedi      20   0 52.6g  96704 80456 S 1.7    0.2   2:45.85 chrome
37910 Jedi      20   0 1448.1g 322356 130156 S 1.7    0.8   0:59.87 chrome
41013 Jedi      20   0 1448.1g 315484 135988 S 1.7    0.8   0:43.12 chrome
9250 Jedi      39  19 1012180  48576 16992 S 1.3    0.1   3:04.35 locale+
37080 Jedi      20   0 53.2g 542872 395928 S 1.3    1.4   4:42.90 chrome
37250 Jedi      20   0 53.1g 319792 151300 S 1.3    0.8   4:26.22 chrome
8466 Jedi       9 -11 121848  17716  9520 S 1.0    0.0   2:51.30 pipewire
36700 root        20   0      0      0      0 I 1.0    0.0   0:06.73 kworker+
39568 root        20   0      0      0      0 I 1.0    0.0   0:06.20 kworker+
```

```
jedi@merced: ~  
find: '/proc/55714/ns': Permission denied  
find: '/proc/56001/task/56001/fd': Permission denied  
find: '/proc/56001/task/56001/fdinfo': Permission denied  
find: '/proc/56001/task/56001/ns': Permission denied  
find: '/proc/56001/fd': Permission denied  
find: '/proc/56001/map_files': Permission denied  
find: '/proc/56001/fdinfo': Permission denied  
find: '/proc/56001/ns': Permission denied  
find: '/proc/56099/task/56099/fd': Permission denied  
find: '/proc/56099/task/56099/fdinfo': Permission denied  
find: '/proc/56099/task/56099/ns': Permission denied  
find: '/proc/56099/fd': Permission denied  
find: '/proc/56099/map_files': Permission denied  
find: '/proc/56099/fdinfo': Permission denied  
find: '/proc/56099/ns': Permission denied  
find: '/proc/56192/task/56192/fd': Permission denied  
find: '/proc/56192/task/56192/fdinfo': Permission denied  
find: '/proc/56192/task/56192/ns': Permission denied  
find: '/proc/56192/fd': Permission denied  
find: '/proc/56192/map_files': Permission denied  
find: '/proc/56192/fdinfo': Permission denied  
find: '/proc/56192/ns': Permission denied  
find: '/root': Permission denied  
jedi@merced:~$
```

# UNIX

- Everything is a process or a file
  - Process hierarchy
    - File descriptor tables
  - File hierarchy
- Pipes and other interprocess communication
- Concurrency
  - Signals
  - Wait queues
  - `fork()` and `exec()`

